

FATCA & CRS Individual self-certification for the purpose of section 285BA of the Income-tax Act, 1961

(All fields are mandatory)

S.NO	Please fill the information below as requested	First Account Holder	Second Account Holder
1	Customer ID (CIF)		
2	Account No.		
3	Account Holder Name		
4	Father's Name		
5	Date of Birth		
6	Place of Birth		
7	Country of Birth		
8	Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
9	Occupation	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others
10	Identification Type- Documents submitted as proof of identity of the individual	<input type="checkbox"/> Passport (Date of Expiry DD /MM /YYYY) <input type="checkbox"/> PAN <input type="checkbox"/> Election / Voter's ID card <input type="checkbox"/> Driving License (Date of Expiry DD /MM /YYYY) <input type="checkbox"/> Aadhaar card / letter <input type="checkbox"/> NREGA Card <input type="checkbox"/> Govt ID Card <input type="checkbox"/> Others (pls. specify) _____	<input type="checkbox"/> Passport (Date of Expiry DD /MM /YYYY) <input type="checkbox"/> PAN <input type="checkbox"/> Election / Voter's ID card <input type="checkbox"/> Driving License (Date of Expiry DD /MM /YYYY) <input type="checkbox"/> Aadhaar card / letter <input type="checkbox"/> NREGA Card <input type="checkbox"/> Govt ID Card <input type="checkbox"/> Others (pls. specify) _____
11	Identification Number		
12	Permanent Account Number (PAN)		
13	Country of Nationality		
14	Country of Citizenship		
15	Mobile No.		
16	Email id		
17	Mailing Address (Complete address consisting of house number, building name, street, Locality, city, postal code, state and country)		
18	Permanent Address (Complete address consisting of house number, building name, street, Locality, city, postal code, state and country)		
19	Please tick if Address Type is other than Residential	<input type="checkbox"/> Residential and Business <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential and Business <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
20	Residential Status for tax purposes	<input type="checkbox"/> Resident of India <input type="checkbox"/> Non-Resident of India	<input type="checkbox"/> Resident of India <input type="checkbox"/> Non- Resident of India.

Declaration of Tax Residency

If you are tax resident of India only, please confirm below:

I confirm that I am not a US person nor a resident for Tax purpose in any country other than India, though one or more parameters (Indicia) suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my tax residency only in India.	Signature of 1 st account holder	Signature of 2 nd account holder
Documents Required: i) Certificate of residence issued by authorised government body (including Government Agency or Municipality) (or) ii) Any Valid identification (Passport, Election ID Card, PAN Card, Driving License, Aadhar copy, NREGA job card, Govt. Issued ID card)		

OR

I/We am/are resident/s of following countries for tax purpose: -

Holders	Country/ ies of Tax Residency	Tax identification Numbers (TIN)/Functional Equivalent number) \$	TIN/Functional Equivalent number issuing country/ies	Documentary evidence # enclosed for country of tax residence and TIN	If no TIN available specify one of the reasons given below
1 st Account Holder					
2 nd Account Holder					
Reasons for non-furnishing of TIN: 1. Country does not issue TIN 2. Country does not require the collection of TIN 3. TIN not yet obtained (please provide once it is obtained)					

§ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. Functional Equivalent of TIN includes the following: social security/insurance number, citizen/personal identification/services code/national identification number, resident / population registration number, Alien card number, etc.

- ❖ In case of **US Citizenship, US Nationality, unambiguous place / country of birth is US but not resident in US for tax purposes** fill **Annexure I**
- ❖ If the jurisdiction of **tax residence is outside India** and the jurisdiction features in the list of **High-Risk Countries** specified by the **OECD**, please complete **Annexure -II**.

Please refer the following link for list of High-Risk Countries featured in OECD: <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/residence-citizenship-by-investment/#faq3> (Examples: Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu)

Documentary evidence to be submitted:

- i) Self-certified copy of TIN or functional equivalent number if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please furnish reasons, and attach to this form. **(AND)**
- ii) A) A certificate of residence issued by authorized government body (including Government agency or a municipality) of the country or territory in which the customer claims to be a resident. **(OR)**
 B) Any valid identification issued by an authorized government body, including a government agency or a municipality, that includes the individual's name and is typically used for identification purposes.

CHANGE OF CIRCUMSTANCE / CEASING OF TAX RESIDENCY

If your tax residency has ceased or changed recently, please furnish details below:

	Date or Year of Change in or Ceasing of Tax Residency	Earlier country of tax residency	Present country tax residency	Documents submitted
1 st account holder				
2 nd account holder				

Customer Declaration

I /we certify that:

- a) The information provided above is in accordance with Rules 114F to 114H of the Income Tax Rules ,1962 read with section 285BA of the Income Tax Act ,1961.
- b) I/We understand that State Bank of India is relying on this information for the purpose of determining the status of the account holder named above in compliance with FATCA/CRS. State Bank of India is not able to offer any tax advice on CRS or FATCA or its impact on the account holder. I/we shall seek advice from professional tax advisor for any tax questions.
- c) The information and documentary evidence provided by me is to the best of my knowledge and belief, true, correct and complete and I have not withheld any material information that may affect the assessment/categorization of my account as a reportable account or otherwise.
- d) I undertake the responsibility to declare and disclose immediately and in no case beyond 30 days from the date of change, any changes that may take place in the information provided herein/or otherwise, as well as in the documentary evidence provided by me or if any certification becomes incorrect or undergoes a change. I further undertake to provide fresh and valid self-certification along with documentary evidence.
- e) I / We also agree that my/our failure to disclose any material fact known to me/us, now or in future, may invalidate me from transacting in the account and State Bank of India would be within its right to put restrictions in the operations of my/our account or close it or report to any regulator and/or any authority designated by the Government of India (GOI) /RBI for the purpose or take any other action as may be deemed appropriate by State Bank of India, under the guidelines issued by CBDT/RBI from time to time, if the deficiency is not remedied by me within the stipulated period.
- f) I permit /authorise the Bank to collect, store, communicate and process information relating to the account and all transactions therein, by the Bank and any of its affiliates wherever situated including sharing, transfer and disclosure between them and to the authorities in and/ or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign.
- g) I/We also agree to furnish and intimate to State Bank of India any other particulars that are called upon me / us to provide on account of any change in law either in India or abroad in the subject matter herein.
- h) I/We shall indemnify State Bank of India for any loss that may arise to State Bank of India on account of providing incorrect or incomplete information by me/us.

Signature of the first account holder

Date:

Place:

Signature of the second account holder

Date:

Place:

For Bank use only:

Documents Received : Self Certified True Copies Notary

Only India Tax residence Yes / NO PAN:

US Reportable: YES/ NO CRS Reportable: YES/ NO Name of the CRS country of Tax residence:

Tax Residence of OECD High Risk Jurisdiction: Yes / NO Name of such High-Risk Jurisdiction:

Alternative Tax residence of any other country: Yes/ NO Name of such alternate country of Tax residence:

TIN Provided: Yes / No. TIN Number:

RM/ Branch Staff Name _____ Signature _____

Date: Bank Seal:

Annexure -I

In case of US Citizenship, US Nationality, and/ or unambiguous place of birth in US but not resident in US for tax purposes:		
I confirm that I was born in the U.S. (or a U.S. territory) but am no longer a U.S. citizen/ U.S. Tax resident as I have voluntarily surrendered my citizenship as per following documentary evidenced.	First Account Holder	Second Account Holder
	Yes / No	Yes / No
Documentary evidence to be provided: a) Non-US passport or any non-US government issued document evidencing nationality or citizenship in a country other than the US. <p align="center">AND</p> b) Certified Copy of "Certificate of Loss of Nationality or a reasonable explanation of: Why the customer does not have such a certificate despite relinquishing US citizenship or Reason the customer did not obtain U.S. citizenship at birth.		

Signature of the first account holder

Signature of the second account holder

Annexure -II

Additional Self Certification/Declaration for Tax Resident of High-Risk Jurisdictions as per OECD					
	1 st account holder	2 nd account holder			
I have obtained residence rights under Citizenship by Investment (CBI)/ Residence by Investment (RBI) scheme.	Yes/No	Yes/No			
I have held Tax residence rights in any other jurisdiction(s)	Yes/No	Yes/No			
I have spent more than 90 days in any other jurisdiction(s) during the previous year.	Yes/No	Yes/No			
I have filed personal income tax returns during the previous year in any other jurisdiction.	Yes/No	Yes/No			
If the answer to (2) / (3) / (4) above is YES, please fill the name of the Countries / Jurisdictions in the table below and provide the tax identification number / functional equivalent number in those jurisdictions:					
	Name of countries/ jurisdictions in which tax residency rights are held	Name of countries/ jurisdictions where more than 90 days spent in previous year	Name of countries/ jurisdictions where personal income tax returns filed during the previous year	Tax Identification / Functional Equivalent Number	Documentary Proof of Tax Identification Number / Functional Equivalent Number
1 st account holder					
2 nd account holder					
Signature of the first account holder		Signature of the second account holder			
Kindly note that the reporting will also be done to such other country (s).					